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Kaufman-Osborn et al.

(54) METHOD FOR IN-SITU DRY CLEANING, PASSIVATION AND FUNCTIONALIZATION OF GE SEMICONDUCTOR SURFACES

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 H01L 21/02 (2006.01)

 H01L 21/302 (2006.01)

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- (52) U.S. Cl.

CPC H01L 21/0206 (2013.01); H01L 21/0228 (2013.01); H01L 21/02046 (2013.01); H01L 21/02054 (2013.01); H01L 21/02301 (2013.01); H01L 21/02312 (2013.01); H01L 21/28255 (2013.01); H01L 21/302 (2013.01)

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(58) Field of Classification Search

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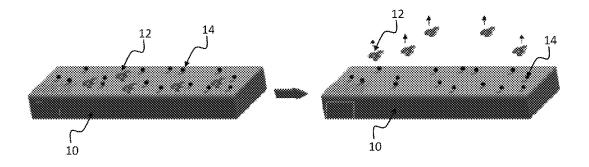
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(57) ABSTRACT

A method for in-situ dry cleaning of a Ge containing semiconductor surface, other than SiGe. The method is conducted in a vacuum chamber. An oxygen monolayer(s) is formed and promotes removal of essentially all carbon from the surface, and serves to both clean and functionalize the surface. The Ge semiconductor surface is then annealed at a temperature below that which would induce dopant diffusion.

24 Claims, 16 Drawing Sheets



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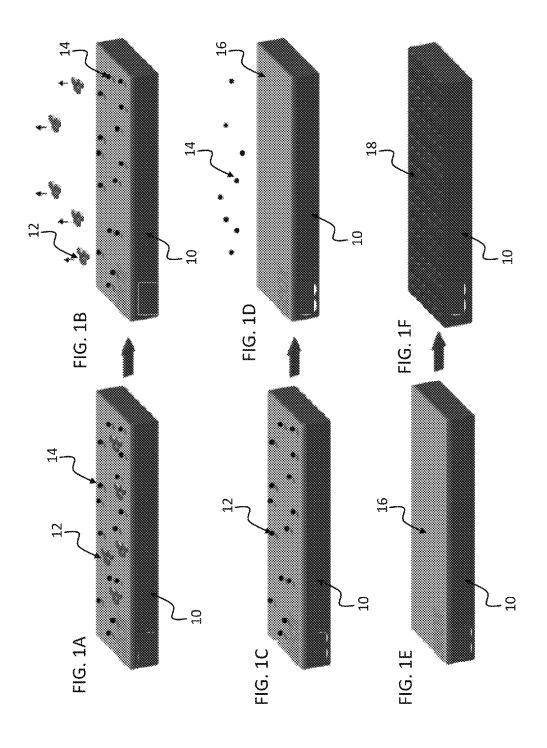
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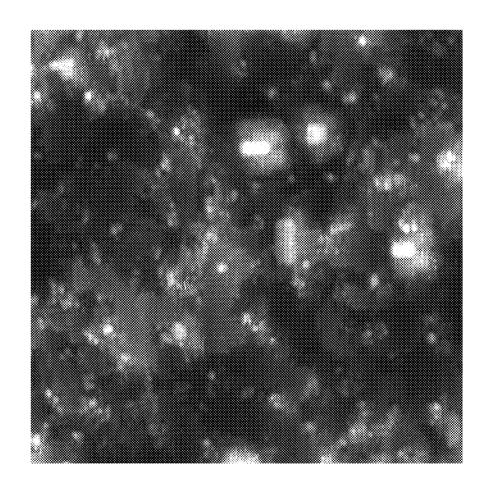
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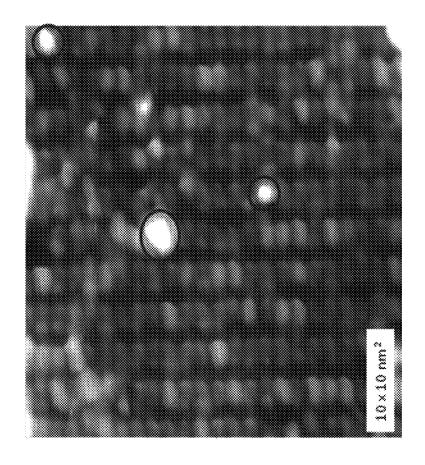


FIG. 3A

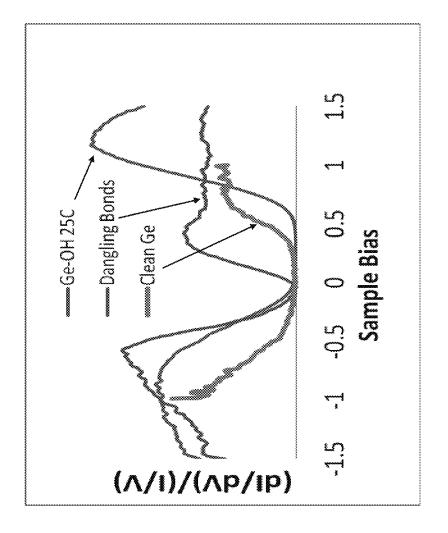


FIG. 3B

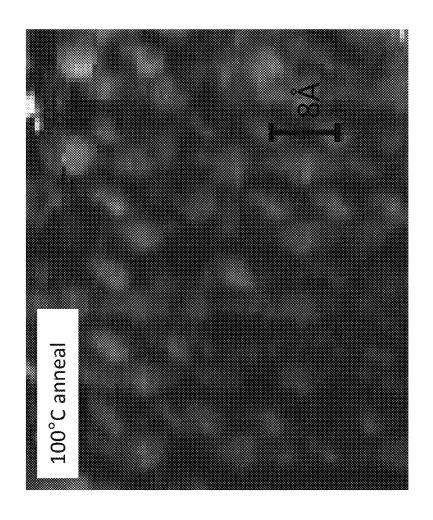


FIG. 4A

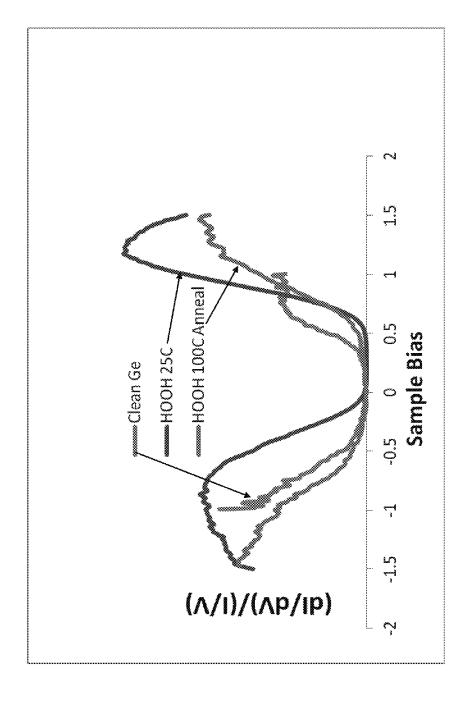


FIG. 4B

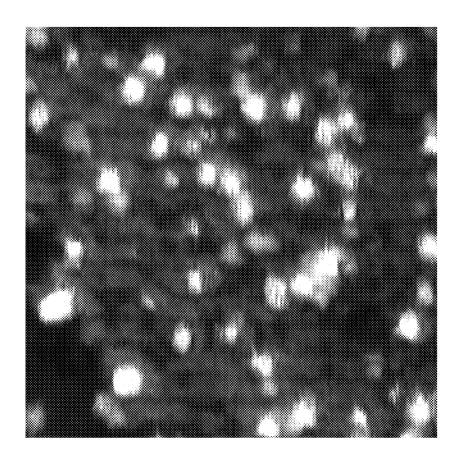


FIG. 5A

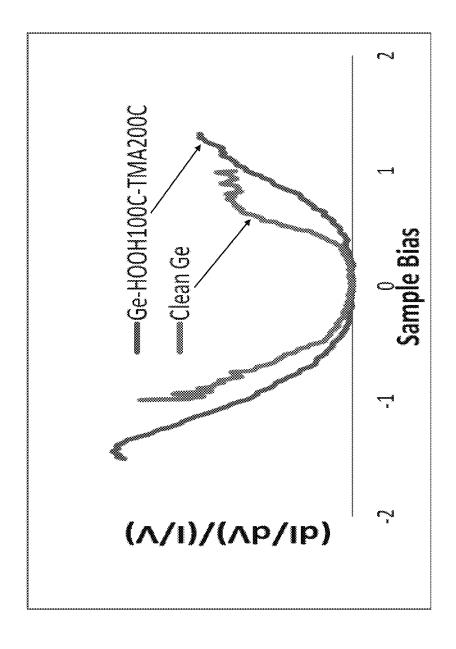


FIG. 5B

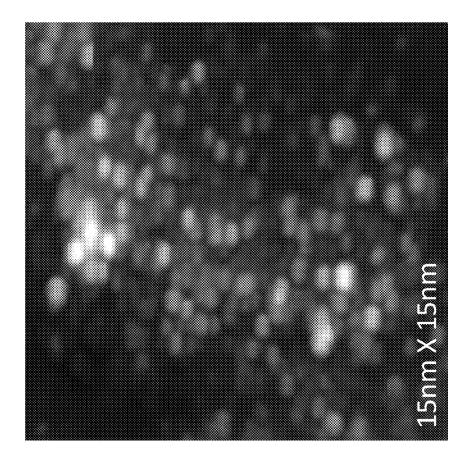


FIG. 6A

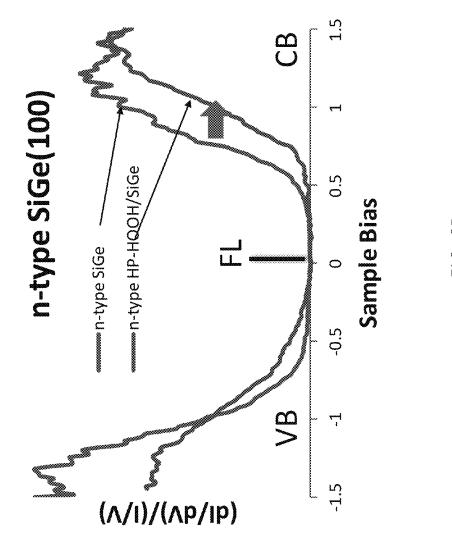


FIG. 6B

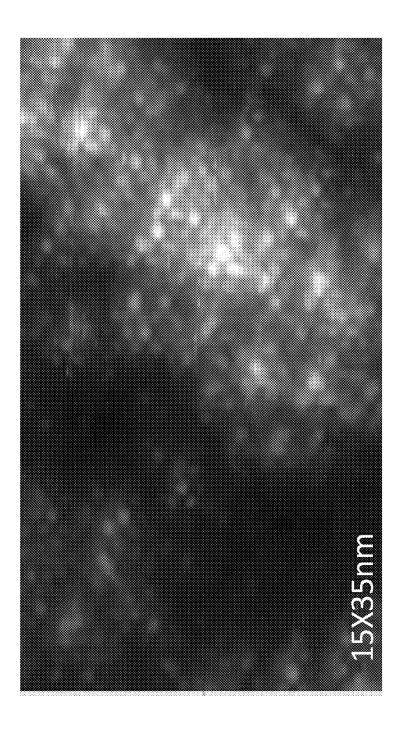
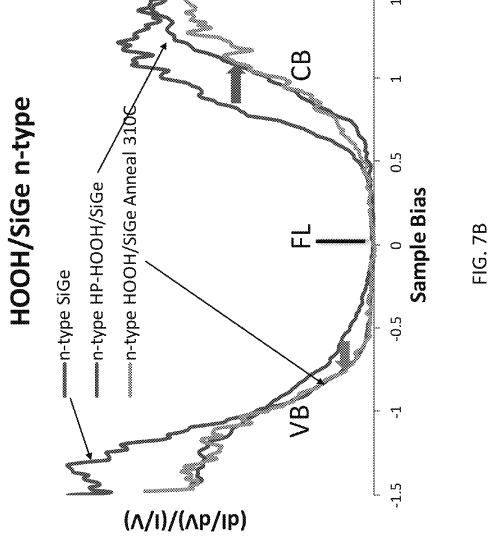


FIG. 7A



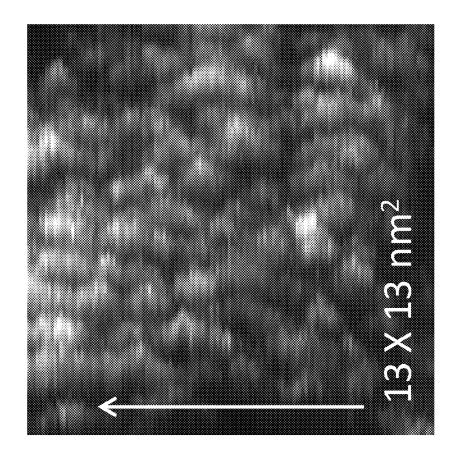


FIG. 8A

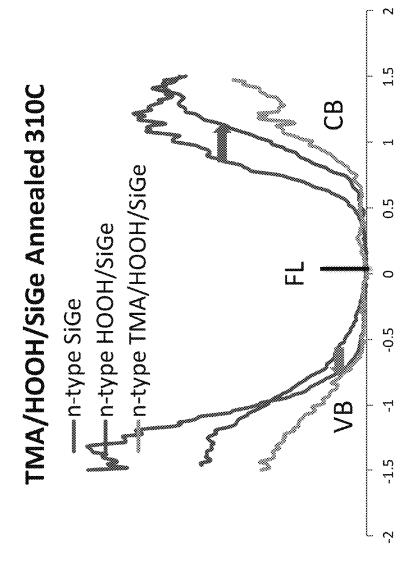
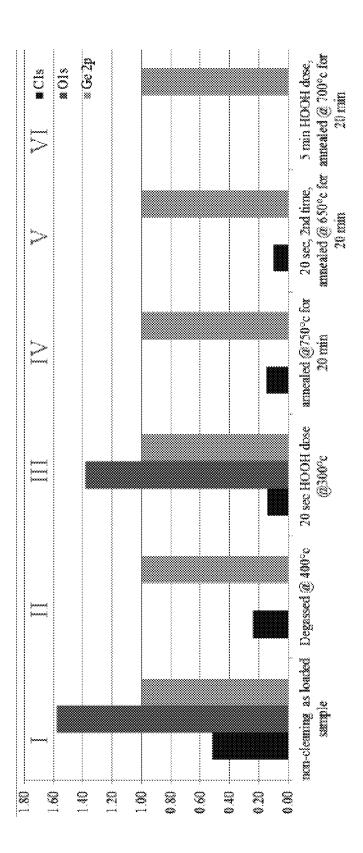


FIG. 8B



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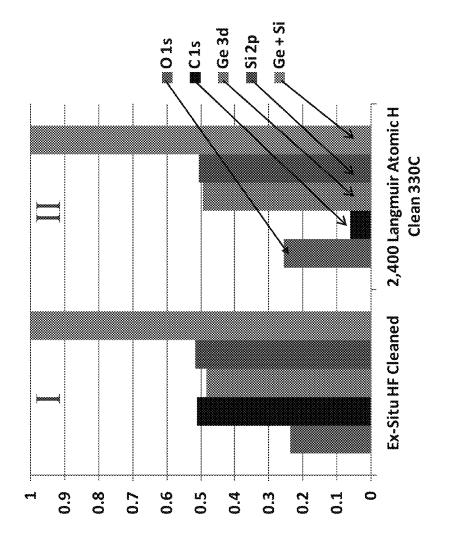


FIG. 10

METHOD FOR IN-SITU DRY CLEANING, PASSIVATION AND FUNCTIONALIZATION OF GE SEMICONDUCTOR SURFACES

PRIORITY CLAIM AND REFERENCE TO RELATED APPLICATION

The application claims priority under 35 U.S.C. §119 from prior provisional application Ser. No. 61/717,749, which was filed Oct. 24, 2012.

FIELD

A field of the invention is semiconductor fabrication. Example applications of the invention include processing of epitaxial Germanium (Ge) semiconductor surfaces, e.g., Ge containing wafers for atomic layer deposition. The invention is applicable to Ge-containing semiconductors other than SiGe.

BACKGROUND

Existing cleaning methods of the germanium surface involve wet chemical etching, ion bombardment, or very high temperature processing. The latter two processes are incompatible with commercial semiconductor logic processing. The wet cleaning method is extremely difficult to integrate into common process modules. The wet cleaning methods roughen the Ge surface leaving a surface that is disordered and/or left with contaminants. The disorder can be reduced by 30 high temperature processing (875° C.), which is undesirable in commercial semiconductor processing because it induces dopant diffusion.

There are numerous previous reports of wet H₂O₂ cleaning and high dose H cleaning of Si, SiGe, and Ge. The Ge alloys 35 (SiGe and GeSb) are important since they will likely be employed in commercial devices prior to pure Ge for channels, sources, and drains. Anthony et al. described that cleaning of silicon surfaces by remote RF hydrogen plasma in mTorr range after wet cleaning can remove carbon and oxy- 40 gen from the Si(100) surface. B. Anthony et al., "In situ Cleaning of Silicon Substrate Surfaces by Remote Plasma-Excited Hydrogen", J. Vac. Sci. Technol. B 7(4), (1989). A modification with wet cleaning and dosing with a remote H plasma source at an optimized Si sample temperature of 250° 45 C. demonstrated both carbon and oxygen could be removed from Si(100) to produce a flat surface and a sharp RHEED pattern. D. Kinosky et al., "Hydrogen Plasma Cleaning of the Si(100) Surface: Removal of Oxygen and Carbon and The Etching of Si," Materials Research Society Proc 315, (1993). 50 The need to avoid plasma damage is critical; Tae et al. developed a defect-free, in situ cleaning of wet cleaned silicon using an ECR UHV hydrogen plasma treatment at a 560° C. surface temperature; however, to avoid ion damage a positive voltage had to be applied to the sample. See, Tae, et al., 55 "Low-Temperature in situ Cleaning of Silicon (100) Surface by Electron Cyclotron Resonance Hydrogen Plasma," J. Vac. Sci. Technol. B 13, 908 (1995).

For SiGe, similar results have been reported. Li et al. employed ECR atomic H cleaning with 20 eV ion energy after 60 wet cleaning with HCl:H₂O₂ and HF to remove metallic and organic impurities from SiGe samples at 250° C., but it is unclear if oxygen was removed. Li et al., "SiGe Gate Oxide Prepared at Low Temperatures in an Electron Cyclotron Resonance Plasma," Appl. Phys. Lett. 63, 2938 (1993).

The work described by Jones et al. is the only previous dry cleaning method known to the present inventors for a germa-

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nium containing semiconductor device. That process employed UV ozone and an extremely high temperature and long duration (>12 hr) anneal. See, D. E. Jones et al. "Scanning Tunneling Microscopy Study of Cleaning Procedures for SiGe(001) Surfaces," Surf. Sci Vol. 341, No. 1, pp. 1005-1010 (1995). The completely gas phase process produced a 1-2 nm oxide layer, but required extensive annealing up to 1050° C. for oxide desorption while maintenance of doping profiles in semiconductor requires processing below 500 C.

Others have reported that atomic hydrogen can be an effective way to remove oxygen and possibly carbon contamination from the Si/SiGe surfaces during cleaning, and the H does serve as a cleaning mechanism agent. Either high atomic H cleaning doses or wet cleans were employed, both of which induce etching. Since there is a great interest in using Ge as channel material in FinFETs, any ex-situ acid wet cleaning or high atomic H dose procedure should be avoided to minimize etching and surface roughness. Prabhakarana, et al., "An efficient Method for Cleaning Ge(100) Surface," Surface Science Volume 316, Issues 1-2, 1 Sep. 1994, Pages L1031-L1033.

A known passivation method uses H₂O gas phase dosing to passivate and functionalize an already cleaned surface. See, J. S. Lee, et. al., "Atomic Imaging of Nucleation of Trimethylaluminum on Clean and H2O Functionalized Ge(100) surfaces," Journal of Chemical Physics, 135, 054705, (2011). Other gas phase passivation methods include nitridation and oxidation. Nitridation of the Ge surface is typically performed using a plasma source to produce a thermally stable Ge oxynitride or Ge nitride layer in order to suppress the out-diffusion of GeO from the Ge surface into the high-k dielectric layer during the post-deposition annealing process. Oxidation using ozone or high pressure O₂ is also found to passivate the Ge surface by forming a stoichiometric GeO₂ layer, which minimizes the suboxide species at the interface. However, to scale down the equivalent oxide thickness (EOT) of the Ge-channel MOSFET device, the thickness of these passivation layers has to be reduced to about one monolayer (ML).

Others have reported passivation methods on the SiGe (100) surface including growth of a Si passivation layer. These methods can result in non-uniform growth unless very thick passivation layers are used. Yang et. al. reported on the passivation of the SiGe surface using an Al_2O_3 layer but the Al_2O_3 layer was very thick (20 nm), which would be bad for scaling of the equivalent oxide thickness (EOT) in MOSFETs, where passivation layers more than 1 nm are not acceptable. See, Yang et al., "Effective passivation of defects in Ge-rich SiGe-on-insulator substrates by Al_2O_3 deposition and subsequent post-annealing," Solid State Electronics, Volume 60, Issue 1, pp 128-133. The Al_2O_3 passivation resulted in SiO₂ film growth.

Thermal oxidation passivation methods of the SiGe surface lead to preferential oxidation of Si species. This produces Ge-rich layers near the SiGe-oxide interface, which is known to cause degradation of the oxide properties.

SUMMARY OF THE INVENTION

An embodiment of the invention is a method for in-situ dry cleaning of a Ge containing semiconductor surface, other than SiGe. The method is conducted in a vacuum chamber. The Ge containing semiconductor surface is exposed to ${\rm H_2O_2}$ vapor in a sufficient time and concentration to form an oxygen monolayer(s). The Ge semiconductor surface is then annealed at a temperature below that which would induce dopant diffusion which promotes the removal of the oxide

layer and essentially all carbon from the surface completing the cleaning procedure. The Ge containing semiconductor surface is then dosed with H₂O₂ vapor to passivate and functionalize the surface for Atomic Layer Deposition. In preferred embodiments, the anneal to remove the monolayer(s) is 550° C. or less for drain and source material application and is conducted with atomic hydrogen in the range of 200-350° C. for channel material application. In preferred embodiments, a multi-dose cleaning is performed, and in other embodiments an extended single-dose cleaning is performed. 10 In preferred embodiments the H₂O₂ (vapor) concentration used for cleaning is ~4-5%. Methods of the invention produced a clean, passivated and functionalized surface. In preferred embodiments, preliminary steps remove organic contamination from the Ge containing surface ex-situ before the surface is placed in vacuum for in-situ cleaning, passivation and functionalization.

A preferred method for in-situ dry cleaning of a Ge containing semiconductor surface includes ex-situ degreasing which removes organic contaminants and in-situ degassing 20 which removes physisorbed water vapor. In-situ, with high concentration gas phase precursor, multiple monolayers of GeOx are formed to remove carbon contamination. In-situ, GeOx is removed by annealing at a moderate temperature to remove the oxide layer and leave an atomically flat surface. 25 The moderate temperature is below the level that would induce dopant diffusion. Preferably, the high concentration gas phase precursors are at least 4-5% H₂O₂ (g) in H₂O (g), and the annealing comprises annealing to ~550° C. or less for source and drain material application and below 350° C. with 30 atomic H for channel material application. After cleaning, the surface is subsequently dosed with low concentration 2% (or more) H₂O₂ (g) in H₂O (g), followed by TMA (trimethylaluminum) dosing and annealing at ~200-300° C. to form a monolayer of thermally stable Al—O bonds which serve as an 35 ideal template for further high-k oxide deposition.

BRIEF DESCRIPTION OF THE DRAWINGS

FIGS. 1A-1F illustrates a preferred embodiment method 40 for in-situ cleaning, passivation and functionlization of Ge containing surfaces;

FIG. 2 is an image of an experimental N-type Ge(100) surface treated with high concentration $\rm H_2O_2$ vapor at a sample temperature of 300° C. followed by a subsequent 45 anneal at 750° C.;

FIG. 3A is a filled state STM image of an experimental $\rm H_2O_2$ -dosed Ge(100) surface at RT (room temperature) showing that 0.95 ML (monolayer) of a Ge surface is covered by $\rm H_2O_2$ chemisorption; FIG. 3B is a plot showing conductance measurements obtained with STS (scanning tunneling spectroscopy) taken on various surface sites of the image of FIG. 3A:

FIG. 4A is a filled state STM image of an experimental $\rm H_2O_2$ -dosed Ge(100) surface at RT annealed to $\rm 100^{\circ}$ C. showing change in surface bonding configuration; FIG. 4B is a plot showing conductance STS measurements taken on the annealed surface of FIG. 4A, which shows shift in Fermi level (0V sample bias);

FIG. **5**A is a filled state STM image of an experimental 60 TMA/H₂O₂/Ge(100) surface dosed at RT annealed to 200° C. showing ordered structure formation (vertical rows); FIG. **5**B is a plot showing conductance STS measurements taken on the experimental TMA/H₂O₂/Ge(100) surface annealed to 200° C. compared to clean Ge surface;

FIG. 6A is a filled state STM image of an experimental H₂O₂-dosed SiGe(100) surface at RT showing the SiGe(100)

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surface covered by H_2O_2 chemisorption; FIG. 6B is a plot showing STS taken on various surface sites of the clean SiGe surface and the H_2O_2 /SiGe surface;

FIG. 7A is a filled state STM image of an experimental $\rm H_2O_2$ -dosed SiGe(100) surface at RT annealed to 290° C. showing change in surface bonding configuration; FIG. 7B is a plot showing conductance STS measurements taken from the annealed surface showing shift in Fermi level (0V sample bias):

FIG. **8**A is a filled state STM image of an experimental TMA/ $\rm H_2O_2/\rm SiGe(100)$ surface dosed at RT annealed to 240° C. showing ordered structure formation (vertical rows); FIG. **8**B is a plot showing conductance STS taken on the TMA/ $\rm H_2O_2/\rm SiGe(100)$ surface annealed to 310° C. and compared to clean SiGe and $\rm H_2O_2/\rm SiGe$ surfaces;

FIG. 9 is a plot of data showing results comparing multidose and extended single dose high concentration 4-5% H₂O₂ (g) in-situ dry cleaning and subsequent annealing; and

 $FIG.\,10$ is a plot that shows XPS results from an ex-situ HF cleaned SiGe(100) sample (column I) and the sample after carbon is cleaned from the SiGe surface via the invention (column II).

DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENTS

An embodiment of the invention is a method for cleaning epitxial Ge containing semiconductor surfaces. The method is generally applicable to germanium containing semiconductors including Ge and GeSn, but excluding SiGe. Ge containing semiconductor wafer can be more challenging to clean than Si since carbon segregates to the surface of Ge containing wafer. The method uses high concentration H₂O₂ vapor in a non-disruptive process to clean wafers in a completely in-situ manner. By exposing an untreated wafer to high concentration H₂O₂ (g), followed by a high-temperature anneal, the method cleans the Ge semiconductor wafer leaving an ordered and flat surface. An anneal in the present invention is preferably 550° C. or below and can be, for example, only at 500° C., and with atomic H can reduced to ~ 200° C. The method produces a clean, ordered, flat Ge containing semiconductor surface (excluding SiGe) ready for atomic layer deposition and CMOS processing. In preferred embodiments, a Ge containing wafer is first degreased and treated with an organic solvent, e.g., acetone, methanol; the wafer is placed in the vacuum chamber, and is treated with H₂O₂ vapor, preferably in a high concentration (e.g., 4-5% H₂O₂ (g) in H₂O (g)). Annealing is then conducted at a temperature less than a temperature that would induce dopant diffusion, e.g., 550° C. or less, and preferably about ~250° C. Temperatures for source or drain material application annealing are preferably no more than ~550° C., while temperatures for channel material application are preferably no more than ~350° C.

Present methods demonstrate improvement over the only method known to the inventors to achieve high density monolayer passivation/functionalization of a Ge surface. While the belief is not dispositive or necessary to distinguish the present invention from the art, it is believed that the method is the first demonstration of the effect of $\rm H_2O_2$ (g) functionalization on nucleation of TMA (trimethylaluminum) on the Ge surface. The present methods provide atomically ordered Ge surfaces without requiring ex-situ acid cleaning procedures or in-situ ion sputtering treatments.

This invention also provides monolayer functionalization, passivation, and nucleation of gate oxide and tunneling oxides on Ge and SiGe surfaces for atomic layer deposition (ALD) of dielectrics.

The cleaning can be employed either for deposition of gate 5 oxide or for cleaning the source and drains. The cleaning procedure includes: dosing with high concentration gas phase $\rm H_2O_2$ to remove carbon and annealing or thermal atomic H exposure to remove the oxide formed by the $\rm H_2O_2$ (g). The invention provides an all dry moderate temperature ~550° C. 10 or less process for cleaning Ge wafers.

When forming a source/drain material, preferred embodiments limit the annealing temperature to a maximum of $\sim\!550^\circ$ C. For formation of channel material, in preferred embodiments the annealing limit is a maximum of $\sim\!350^\circ$ C. 15 with atomic hydrogen use during the annealing to assist in the oxide removal. A temperature range during annealing with atomic hydrogen is in the range of $\sim\!200^\circ$ C. $^{350^\circ}$ C. After $\rm H_2O_2$ (g) dosing and annealing, the clean surface is ready for passivation, functionalization, and nucleation of atomic layer deposition. After low concentration $\rm H_2O_2$ (g) dosing, the annealing should be held to below 100° C. and then after TMA dosing onto the $\rm H_2O_2$ dosed surface, annealing is preferably conducted between 200-300° C.

In a preferred embodiment, ex-situ wet dipping in HF 25 followed by in-situ atomic H dosing is used to effectively clean SiGe wafers if the surface is kept in an inert atmosphere between the ex-situ wet treatment and in-situ atomic H treatment. The ex-situ HF clean will effectively remove all oxygen containing contaminants from the surface while the in-situ atomic H treatment will effectively remove all carbon containing contaminants. Another preferred embodiment provides a method for a completely in-situ treatment and uses NH₄F to effectively clean SiGe wafers. In-situ dosing of NH₄F will effectively remove carbon and oxygen containing species (SiOx and GeOx) from the surface. The NH₄F can be dosed via in-situ formation via NF3+atomic H, thermally mixing NH₃+NF₃, or plasma mixing of NF₃ with H₂ or H₂O.

Dielectric deposition is required for gate oxides but can also be used in methods of the invention for unpinning the 40 contacts to sources and drains on Ge and Ge alloy based devices. For example, for gate oxides, in order to scale the equivalent oxide thickness (EOT) of a Ge(100) CMOS while maintaining a high mobility, a monolayer passivation and nucleation layer is needed whose formation does not disrupt 45 the substrate. An example preferred monolayer passivation and nucleation scheme is saturation of Ge(100) with a monolayer of H₂O₂ at 300K, followed by a 100° C. anneal to form a layer with a high density of Ge—OH bonds, followed by saturation with TMA at 300K, and followed by a 200° C. 50 anneal, which can readily be performed in an ALD (atomic layer deposition) reactor. A similar procedure can be used for oxide deposition on the source and drain. The procedure can be used for other crystal faces including SiGe(110) which has slightly different bonding. Saturation of Ge(100) with a 55 monolayer of H₂O₂ chemisorbates at 300K forms a high density of Ge-OH bonds. This is followed by a 100° C. anneal which electrically unpins the surface. Subsequently, a saturation dose of TMA on the H₂O₂/Ge surface at 25 C followed by a 200-300 C anneal forms a monolayer of ther- 60 mally stable Al-O bonds. This can readily be performed in an ALD (atomic layer deposition) reactor. The procedure can also be used for other Ge containing semiconductors, including SiGe and GeSn. The procedure can also be used for other crystal faces including SiGe(110) and Ge(110). The SiGe 65 (110) surface has importance in MOSFET development as new geometries are considered for these devices.

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A preferred method for in-situ dry cleaning of a Ge containing semiconductor surface includes ex-situ degreasing of the semiconductor surface to remove of organic contaminants. In-situ, using high concentration $\mathrm{H_2O_2}$ gas phase precursor, multiple monloayers of $\mathrm{GeO_x}$ are formed to remove carbon contamination. In-situ, $\mathrm{GeO_x}$ is removed by annealing at a moderate temperature to remove the oxide and leave an atomically flat surface. The moderate temperature is below the level that would induce dopant diffusion. Preferably, the high concentration gas phase precursors are 4-5% $\mathrm{H_2O_2}(g)$ in $\mathrm{H_2O}(g)$, and the annealing comprises annealing at ~550° C. or less for source and drain material application and below 350° C. with atomic H for channel material application.

Preferred embodiments of the invention will now be discussed with respect to the drawings. The drawings may include schematic representations, which will be understood by artisans in view of the general knowledge in the art and the description that follows. Features may be exaggerated in the drawings for emphasis, and features may not be to scale.

FIGS. 1A-1F illustrate a preferred method of the invention. The method is performed completely in-situ, and anneal temperatures are low enough to be conducted in standard semiconductor processing. In FIG. 1A, a substrate containing Ge is provided. The substrate can be, for example, a Ge(100) crystal. The method is applicable to other semiconductors, such as SiGe and GeSn, and also other crystal faces including Ge(110) and SiGe(110), but for Si containing semiconductors an in-situ dry NH₄F or ex-situ wet HF in a clean atmosphere cleaning step must be included. A Ge containing substrate 10 will have organic contamination 12 and carbon contamination 14. Organic contamination 14 is removed in FIG. 1B, such as with a wet solvent and then the substrate 10 is placed vacuum in FIG. 1C. The organic cleaning in FIG. $1\hat{B}$ is an organic ex-situ solvent cleaning that is used to remove organic contamination 12 from the surface prior to any in-situ treatment. A dry cleaning process is conducted in FIG. 1D. The dry cleaning process uses H₂O₂ or H to remove the carbon contamination 14 and leave a clean GeO_x surface 16. In an example preferred process, hydrogen peroxide gas, H_2O_2 (g), is used to remove hydrocarbon contamination. By using H₂O₂ in the gas phase, extensive etching of the surface can be avoided. Annealing is conducted in FIG. 1E to remove GeOx and this produces a clean semiconductor surface 18 that is ready for further processing/device fabrication in FIG. 1F. Advantageously, an anneal can be performed in a moderate temperature range, below 550° C., which avoids the very high temperature, e.g., 875° C., processing for methods that include many wet cleaning processes for Ge semiconductor services. In a preferred embodiment, an anneal is conducted at only ~500° C. after H₂O₂ (g) dosing, and this successfully removes oxide and carbon and can be used to form source and drain materials. The anneal temperature is reduced to below ~350° C. with use of atomic H. Use of atomic H during the anneal can drastically reduce the anneal temperature to preferably ~200-300° C., for example. The surface in FIG. 1F is functionalized and passivated via low concentration H₂O₂ (g). It is reactive to ALD and has a monolayer with a Fermi level that is unpinned after a 100° C. anneal. The surface is left with terminated with at least oxygen species (—OH or —O) per surface atom, which doubles the number of potential reactive sites compared to conventional passivation and ALD functionalization methods (H2O gas dosing).

Experiments have shown that when the $\rm H_2O_2$ (g) dosed surface is initially annealed to 100° C., the bonding configuration on the surface changes resulting in unpinned Fermi level. After dosing with TMA, an anneal of ~200-300° C. is conducted. The $\rm H_2O_2$ (g) functionalized surface is stable at

 100° C. after H_2O_2 (g) dosing and 300° C. after TMA dosing on H_2O_2/Ge surface. A combination of complete monolayer H_2O_2 (g) functionalization followed by TMA reaction provides a thermally stable Al—O bond, which provides a high density nucleation template for further high-k oxide deposition.

Experiments

Experiments were carried out in a UHV chamber with a base pressure of 2×10⁻¹° Torr. N-type Ge(100) samples were sonicated three times in high purity acetone, methanol and 10 high performance liquid chromatography (HPLC) water to degrease the samples. Subsequently, the samples were blown dry with N₂ (g). After loading into the UHV chamber, samples were initially degassed by heating to 400° C. Afterwards, the samples were transferred to the ALD chamber with a base 15 pressure of 2×10⁻⁸ Torr. Samples were subsequently dosed with $\rm H_2O_2$ (g) while the sample temperature was maintained at 300° C. Two different $\rm H_2O_2$ (g) sources were used in experiments; a high concentration (4-5% H₂O₂ (g) in H₂O (g)) H₂O₂ vapor source from RASIRC® and a commercially 20 available low concentration $H_2O_2(2\% H_2O_2(g) \text{ in } H_2O(g))$. The high and low concentration gas sources still were both prepared from a 30% H₂O₂/H₂O (liquid) solution. A vapor of this liquid solution which only has ~2% H₂O₂ (g)/H₂O (g) served as the low concentration vapor source and a higher 25 concentration ~4-5% vapor served as the high concentration source when dosing.

All dosing lines and valves were made from Teflon. After dosing with ${\rm H_2O_2}$ (g), samples were transferred to the UHV chamber for structural and chemical analysis. An anneal was 30 employed to remove the oxide layer (but at relatively moderate temperatures compared to most wet processing techniques) and obtain large terraces on the surface. X-ray photoelectron spectroscopy (XPS) and scanning tunneling microscopy (STM) were used to analyze the chemical and 35 structural configuration of the surface of Ge samples. In a separate experiment, Auger electron spectroscopy (AES) was used to quantify the amount of oxygen and carbon on the surface after treating the samples with thermal atomic hydrogen. Atomic hydrogen was produced using an Oxford 40 Applied Research® TC50 thermal gas cracker. Atomic hydrogen was dosed at a pressure of 10^{-6} Torr.

Experiments showed that by using a high concentration (4-5%) gas phase $\rm H_2O_2$ source, carbon contamination can be removed from Ge(100) without wet pretreatment. Gas phase 45 $\rm H_2O_2$ leaves a multilayer GeOx which can be desorbed from the surface by annealing. The $\rm H_2O_2$ (g) forms about 4 ML of GeOx which readily desorbs from the surface at elevated temperature leaving an atomically ordered and clean surface.

A Ge(100) surface was dosed with 60 MegaLangmuir of 50 high concentration H_2O_2 (g) at a sample temperature of 300° C. The high concentration H_2O_2 source is built by RASIRC® and delivers a high ratio (4-5%) of vapor H_2O_2/H_2O . The source temperature is 40° C. while dosing. After forming multilayer GeO_x on the Ge(100) surface, an anneal between 5500-750° C. (the higher temperature was used in experiments to provide for better STM images) for 20 minutes removes the oxide layer leaving an ordered, flat, and contaminant free Ge surface as shown in FIG. 2. Bright white spots are in FIG. 2 are Ge adatoms.

Once a clean Ge surface is achieved, a saturation dose of low concentration ${\rm H_2O_2}$ (g) is performed at below 100° C. to give a full monolayer of —OH sites which terminates Ge dangling bonds. The saturation dose is self limiting, because no more adsorption occurs at saturation. The saturation dose 65 provides the highest coverage that can be achieved. This passivation reduces the electronic density of states in the Ge

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band gap that occur when under coordinated Ge atoms exist on the surface. A Ge(100) surface dosed with $2.25 \times 10^6 \, \mathrm{L}$ of $\mathrm{H_2O_2}$ (g) at 300 K is shown in FIG. 3A. Nearly 100% of the surface is covered with $\mathrm{H_2O_2}$ dissociative chemisorptions sites, with only a very few dangling bonds left unreacted. These few dangling bonds are circled. The density of states measured from these surface sites are compared in FIG. 3B. The data show that dangling bond sites have energy states near 0.5 eV, consistent with the presence of dangling bonds. The energy states around 0.5 eV on the $\mathrm{H_2O_2}$ sites are significantly reduced, showing that the dangling bond states are passivated by the —OH bonds.

When the Ge—OH surface is annealed to 100° C., the surface bonding configuration changes as shown in FIG. **4**A. It is likely the initial dose could be at 100° C. instead of 25° C. also. The low temperature anneal reduces the large surface dipole, unpinning the surface and leaving an electronic structure similar to that of the clean surface. This shift in Fermi level is shown in FIG. **4**B, which shows the density of states before and after the 100° C. anneal.

On the H₂O₂ (g) dosed Ge surface, a saturation dose of TMA is performed at 300K. TMA dissociatively chemisorbs to the oxygen on the surface Ge—OH forming Ge—O—Al bonds, while hydrogen atoms promotes a—CH₃ TMA ligand to desorb as CH₄. This leaves dimethylaluminum (DMA) and monomethyl aluminum (MMA) bonded to the surface via an Al—O bond. After the surface is annealed to 200° C., more methyl ligands desorb from the surface and an ordered structure forms on the surface as shown by the vertical rows that are apparent in FIG. 5A. The result is a near monolayer of ordered non-stoichiometric alumina that forms on the surface. TMA can also be dosed on the 100° C. annealed H_2O_2 dosed Ge surface. TMA will bond to the surface via a similar mechanism, and XPS data confirms that the nucleation density of TMA is equal on both the 25° C. as-dosed H₂O₂/Ge (100) surface and the 100° C. annealed $H_2O_2/Ge(100)$ surface. Both result with an O to Al ratio of ~1. FIG. 5B shows the density of states of the TMA/100° C. annealed H₂O₂ (g)/Ge surface demonstrating a slightly large bandgap than the clean surface and with no states in the bandgap. This demonstrates an excellent interface and template for further high-k oxide deposition because after a single monolayer of DMA or MMA is on the surface, a very high-k dielectric can be deposited by ALD as shown by R. Suzuki. See, Suzuki et al. "1-nm-capacitance-equivalent-thickness HfO2/Al2O3/ InGaAs metal-oxide-semiconductor structure with low interface trap density and low gate leakage current density" in Applied Physics Letters, 100, 132906 (2012).

The processing steps previously described for passivating and functionalizing the Ge(100) surface are very similar to the treatment needed to functionalize and passivate the SiGe (100) but note an additional NH₄F cleaning step is required.

Once a clean SiGe(100) surface is achieved, a saturation dose of H₂O₂ (g) is performed at 300K to give a full monolayer of —OH sites which terminate SiGe dangling bonds. This passivation reduces the electronic density of states in the SiGe band gap caused by dangling bonds. The SiGe(100) surface dosed with 2×10⁴ L of H₂O₂ (g) at 300K is shown in FIG. 6A. The density of states measured from this surface is shown and compared to the clean SiGe(100) surface in FIG. 6B. As expected, H₂O₂ (g) dosing (red curve) pins the Fermi level near the valence band edge due to the large surface dipole. The H₂O₂ (g) dosing also eliminates electronic states in the bandgap caused by dangling bonds on under coordinated atoms on the surface, which demonstrates successful passivation of the surface.

When the SiGe—OH surface is annealed to 290° C., the surface bonding configuration changes, as shown in FIG. 7A. The large surface dipole is reduced, unpinning the surface and leaving an electronic structure similar to that of the clean surface. This shift in Fermi level is shown in FIG. 7B, which 5 shows the density of states before and after the 290° C. anneal. The surface bonding configuration begins to change from the SiGe—OH surface at ~180° C., and anneals between 200-300° C. are preferred to provide the surface configuration change and reduce the surface dipole.

On the H₂O₂ (g) dosed SiGe surface, a saturation dose of TMA (1×10⁵ Langmuir) is performed at 300K. TMA dissociatively chemisorbs to the oxygen on the surface, while hydrogen atoms promote a —CH₃ TMA ligand to desorb as CH₄. This leaves dimethylaluminum (DMA) bonded to the 15 surface via an Al-O bond. After the surface is annealed to 200-300° C., more methyl ligands desorb from the surface and an ordered structure forms on the surface as shown by the vertical rows in FIG. 8A. The result is a near monolayer of ordered non-stoichiometric alumina which forms on the surface. TMA can also be dosed on the 290° C. annealed H₂O₂ (g) dosed SiGe surface. TMA will bond to the surface via a similar mechanism as previously described. FIG. 8B shows the density of states of the TMA/H₂O₂/SiGe surface, which demonstrates a slightly large bandgap than the clean surface 25 and with no states in the bandgap and an unpinned interface. Once the monolayer of TMA bonds to the surface, very high-k dielectrics such as HfO2 can readily be deposited since they bond to Al—OH functional groups. This demonstrates a high quality interface and template for further high-k oxide 30 deposition.

The SiGe(100) experiments provide strong evidence that the present ALD nucleation methods will work on the SiGe (110) surface. Others have shown that H₂O functionalization of the Si(110) surface takes place in a dissociative manner, 35 which was demonstrated on the Si/SiGe/Ge(100) surface. While not bound by the theory, we believe that a similar dissociative chemisorption occurs on the SiGe(110) surface when dosed with either H₂O (g) or H₂O₂ (g) indicating that the SiGe(110) or Ge(110) surface.

Experiments also tested different dosing conditions of air exposed Ge(100) samples at 300K with low concentration $H_2O_2(g)$. For dosing with 20 mTorr 2% $H_2O_2(g)$, by increasing the dosing time from 30 seconds $(6 \times 10^5 \text{ L})$ to 45 seconds 45 $(9\times10^5 \text{ L})$, the concentration of surface oxygen has been increased to 1.5 monolayers. While increasing the dosing time of the 2% H₂O₂ (g) increased the oxide thickness, it was still too small to remove all the carbon after annealing so the 4-5% H₂O₂ (g) was demonstrated to be much more effective. 50 Experiments showed that by dosing a Ge(100) sample at 300K for 30 seconds $(1.65 \times 10^7 \text{ L})$ with 550 mTorr 4-5% H_2O_2 (g), the oxygen level increased 4 fold compared to the 2% H₂O₂ (g) dosed surfaces, indicating successful formation of multilayers of oxide on the Ge(100) surface.

Experiments showed that a multilayer of the oxide formed via the 4-5% H_2O_2 (g) 1.65×10⁷ L dose at a surface temperature of 300K can be removed by annealing resulting in an atomically flat surface. Surface dosing at 300K with 4-5% $H_2O_2(g)(1.65\times10^7 L)$ for 30 seconds and subsequent anneal- 60 ing at 700° C. for 30 minutes produced large terraces suitable for STM imaging, but the oxide desorption is fast as demonstrated by a brief (~60 sec) 3×10^{-9} Torr pressure rise upon heating to sample to 700° C. Levels of carbon contamination from image analysis in some samples were less than 5%. 65 Using larger doses of 4-5% H₂O₂ (g), carbon contamination was completely eliminated and this is verified by X-ray Pho10

toelectron Spectroscopy. The invention thus provides atomically ordered Ge surfaces without ex-situ acid cleaning procedures or in-situ ion sputtering treatment. Contamination is reduced without any extensive ex-situ wet treatment of the surface. The process should work on all Ge reconstruction since the process only depends on local bonding properties.

Multi dose and single dose cleanings were also tested, and the results are shown in FIG. 9. An air exposed sample was degassed in the UHV chamber at 400° C. removing the native oxide (columns I and II). Subsequently, the sample was dosed with 4-5% H₂O₂ (g) for 20 seconds at 300° C. thereby reducing the carbon and growing a multi-layer non-stoichiometric germanium oxide (column III). Subsequent annealing at 750° C. removes the oxygen from the surface (column IV). The same sample was redosed for the second time with 4-5% H₂O₂(g) for 20 seconds at 300° C. and subsequently annealed for 20 min at 650° C. The carbon content reduced to half compare to previous step. (column V). An order of magnitude higher dose $(1.65 \times 10^8 \, \hat{L})$ and subsequent anneal at 500° C. (the experiment used 700° C. for the purpose of obtaining better STM images) for 20 min completely removed the carbon from the surface (column VI).

To further understand the chemistry of carbon and oxygen removal from Ge at elevated temperature (300° C.), the progression of carbon and oxygen levels from its surface were analyzed with XPS, and the data are shown in FIG. 9. The XPS data has been normalized to the Ge 2p peak. The sample was first treated with acetone, methanol and HPLC water. Water treatment of the Ge surface forms a thick layer of oxide on top of the surface resulting in a very large XPS oxygen peak. The initial carbon content on the surface is ~50% (column I). Degassing at 400° C. in UHV induced all of the oxide layer to desorb along with half the carbon content leaving about 25% carbon on the Ge(100) surface (column II). This reduction in carbon content is consistent with the desorption of atmospheric hydrocarbon species which adsorbed on the oxide layer prior to loading the sample into the UHV cham-

Degassed samples were transferred to the ALD chamber the present functionalization with H₂O₂ should work well on 40 and dosed at 300° C. with 4-5% H₂O₂ (g) for a 20 second dose at 550 mTorr $(1.1 \times 10^7 \text{ L})$ while maintaining sample temperature at 300° C. This produced a relatively thin oxide layer (3-10 monolayers) on the Ge surface and reduced the carbon content to about 15% (column III). A subsequent moderate temperature anneal at 750° C. desorbed all the oxygen (column IV) and pulled the surface flat. After the 750° C. anneal, bright white features were seen on STM images, which are consistent with carbon contamination due to the height of the features (5 Å) being inconsistent with Ge adatoms which have a height of 2 Å; XPS data was also consistent with carbon. It is expected that thermal anneal temperatures as low as 500° C. would be sufficient or 250° C. with atomic H.

The sample was redosed at 300° C. with 4-5% H_2O_2 (g) for 20 seconds at 560 mTorr (1.1×10⁷ L) and subsequently 55 annealed at 650° C. It is expected that thermal anneal temperatures as low as 500° C. would be sufficient or 250° C. with atomic H. XPS data indicated that the second H₂O₂ dose reduced the carbon contamination of the surface to about 7% (column V). This proves that by cycling samples through $H_2O_2(g)$ doses and subsequent anneals, the carbon contamination can be systematically reduced. STM images showed lower densities of white features indicating lower surface concentration of carbon than after a first H₂O₂ (g) dose and anneal cycle. Surfaces annealed to 650° C. and lower compared to 750° C. were still flat and free of adatoms. It is expected that thermal anneal temperatures as low as 550° C. would be sufficient or 250° C. with atomic H. The number of

cycles and cycling time is not important. Instead, it is the amount of vapor which is exposed to the surface. 1 Langmuir= 10^{-6} torr for 1 sec. The experiments have shown that 6×10^7 Langmuirs will clean the surface of carbon contamination. This can be provided as one single dose or can be 5 delivered over multiple pulses to the same effect.

Experiments also showed that the cyclic dose/anneal process could be replaced with a single long $\rm H_2O_2$ (g) dose to achieve very low levels of contamination. A 5 min 4-5% $\rm H_2O_2$ (g) dose at 550 mTorr (165×10 6 L) at a sample temperature at 10 300° C. and a subsequent anneal at 700° C. (or less) for 30 minutes is sufficient to completely remove the carbon from the surface (column VI). XPS data confirmed that such a long dosing time (165×10 6 L) of 4-5% $\rm H_2O_2$ (g) and subsequent anneal can completely remove the carbon from the surface. It 15 is expected that thermal anneal temperatures as low as 500° C. would be sufficient or 250° C. with atomic H.

Experiments showed that a high concentration ($30\%\,H_2O_2/H_2O(1)$) 4-5% gas phase H_2O_2 source, carbon contamination can be removed from Ge(100) without wet pretreatment. Gas 20 phase H_2O_2 leaves a multilayer GeO_x which can be desorbed from the surface by annealing. The H_2O_2 (g) forms about 4 ML of GeO_x which readily desorb from the surface at elevated temperature leaving an atomic ordered and clean surface.

Experiments showed that an air exposed SiGe(100) wafer 25 can be cleaned by using an ex-situ 2% HF/H₂O dip for 2 minutes followed by in-situ exposure to 2400 L of atomic H can provide a nearly carbon free sample. FIG. 10 shows the XPS results from an ex-situ HF cleaned SiGe(100) sample (column I). After the sample is exposed to 2400 L of atomic H, 30 the carbon contamination on the sample is reduced to 3% demonstrating that atomic H can efficiently remove carbon from the SiGe surface (column II). The residual oxygen contaminants on the surface are due to oxygen adsorption between the ex-situ HF treatment and loading the sample into 35 vacuum. If the transfer is done in an inert environment, or if in-situ NH4F+ atomic H treatment is done in-situ, there should be no residual oxygen on the surface leaving a surface clean for ALD.

While specific embodiments of the present invention have been shown and described, it should be understood that other modifications, substitutions and alternatives are apparent to one of ordinary skill in the art. Such modifications, substitutions and alternatives can be made without departing from the spirit and scope of the invention, which should be determined from the appended claims.

in-situ, with gas phase p layers of GeOx to remine in-situ, annealing to remine cally flat surface.

18. The method of claim passivating with H₂O₂ (g).

Various features of the invention are set forth in the appended claims.

The invention claimed is:

- 1. A method for in-situ dry cleaning of a Ge containing 50 semiconductor surface other than SiGe, comprising:
 - in a vacuum chamber, exposing the Ge containing semiconductor surface to H₂O₂ vapor to form an oxygen monolayer(s) and promote removal of carbon from the surface; and
 - in the vacuum chamber, annealing the Ge containing semiconductor surface at a temperature below that which would induce dopant diffusion.
- 2. The method of claim 1, wherein said annealing is conducted at or below \sim 550 $^{\circ}$ C.
- 3. The method of claim 2, wherein said annealing is conducted with atomic H at a temperature of below about $\sim 350^{\circ}$ C.

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- **4**. The method of claim **1**, further comprising a preliminary step of degreasing the Ge containing semiconductor surface.
- **5**. The method of claim **4**, wherein the degreasing comprises sonication in high purity acetone, methanol and high performance liquid chromatography (HPLC) water.
- 6. The method of claim 4, further comprising a preliminary step of degassing.
- 7. The method of claim 1, wherein the vacuum chamber comprises an atomic layer deposition (ALD) reactor.
- **8**. The method of claim **1**, wherein the Ge containing semi-conductor surface comprises on of a Ge or Ge alloy wafer.
- 9. The method of claim 1, wherein the Ge containing semiconductor surface is one of Ge(100) and Ge(110).
- 10. The method of claim 1, wherein the Ge containing semiconductor surface is GeSn.
- 11. The method of claim 1, further comprising providing a monolayer passivation and nucleation by depositing a monolayer of $\rm H_2O_2$, followed by an anneal, followed by saturation with TMA and an anneal.
- 12. The method of claim 10, conducted in an atomic layer deposition reactor.
- 13. The method of claim 1, wherein the $\rm H_2O_2$ comprises high ratio (4-5%) of vapor $\rm H_2O_2/H_2O$.
- 14. The method of claim 1, further comprising forming a monolayer of —OH sites with a saturation dose of H₂O₂ (g).
- 15. The method of claim 14, further comprising applying a saturation dose of trimethylaluminum (TMA) to form dimethylaluminum (DMA) bonded to the H₂O₂ (g) saturated Ge semiconductor surface via an Al—O—Ge bond.
- 16. The method of claim 15, further comprising conducting an anneal after said applying a saturation dose of TMA at a temperature of \sim 200-300 $^{\circ}$ C.
- 17. A method for in-situ dry cleaning of a Ge containing semiconductor surface other than SiGe, comprising:
 - ex-situ, degreasing the Ge containing semiconductor surface:

ex-situ, removing organic contaminants;

- in-situ, with gas phase precursor, forming multiple monolayers of GeOx to remove carbon contamination:
- in-situ, annealing to remove the GeOx and leave an atomically flat surface.
- 18. The method of claim 17, further comprising in-situ passivating with H_2O_2 (g).
- 19. The method of claim 18, further comprising in-situ nucleating with trimethylaluminum (TMA).
- 20. The method of claim 19, wherein said gas phase precursor comprises 4-5% $\rm H_2O_2$ (g) in $\rm H_2O$ (g), and said annealing comprises annealing at ~550° C. or less.
- 21. The method of claim 20, applied to form channel material wherein the annealing at \sim 550° C. or less is conducted with atomic H at below at \sim 350° C. or less.
- 22. The method of claim 21, wherein the annealing at \sim 350° C. is conducted after said forming multiple monolayers and a second annealing at \sim 200-300° C. is conducted after said nucleating.
- 23. The method of claim 1, wherein the removal of carbon leaves a level of carbon contamination of 5% or less.
- 24. The method of claim 23, wherein the removal of carbon completely eliminates carbon contamination.

* * * * *

UNITED STATES PATENT AND TRADEMARK OFFICE

CERTIFICATE OF CORRECTION

PATENT NO. : 9,117,653 B2

APPLICATION NO. : 14/061994 DATED : August 25, 2015

INVENTOR(S) : Tobin Kaufman-Osborn et al.

It is certified that error appears in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

In the Specification:

Col. 6, line 5	Please delete	"monloavers"	and insert	monolayers therefor.

Col. 6, line 33 After "placed", please insert --in a--.

Col. 8, line 25 Please delete "promotes" and insert --promote-- therefor.

Col. 10, line 17 After "content", please insert --was--.

Col. 10, line 18 Please delete "compare" and insert --compared-- therefor.

Signed and Sealed this Twenty-first Day of June, 2016

Michelle K. Lee

Michelle K. Lee

Director of the United States Patent and Trademark Office